As of August 25th, 1997 the Gigabit Ethernet Consortium Clause 37 Auto Negotiation Conformance Test Suite Version 1.0 has been superseded by the release of the Clause 37 Auto Negotiation Conformance Test Suite Version 1.0a. This document along with earlier versions, are available on the Ethernet Consortium test suite archive page.

Please refer to the following site for both current and superseded test suites:

http://www.iol.unh.edu/testsuites/ge/

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#37.1.1 Configuration Ordered Set Format

**Purpose:** To verify that the Config_Reg is properly encoded into the Configuration Ordered Sets.

**References:**
- IEEE 802.3z/D3.1 - Figure 36-3 PCS Reference Diagram, Figure 36-6 PCS Transmit Code_Group State Diagram and Figure 36-7a PCS Receive State Diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 21, 1997

**Discussion:** Two Configuration Ordered Sets are defined, /C1/ and /C2/. /C1/ consists of /K28.5 / D21.5 / Dx.x / Dy.y. /C2/ consists of /K28.5 / D2.2 / Dx.x / Dy.y. Where Dx.x contains tx_Config_Reg<D7:0>, and Dy.y contains tx_Config_Reg<D15:8>. As shown in Figure 36-3, the bit-order, on the medium, of Config_Reg is bits 7 to 0, then bits 15 to 8. This test verifies that /C1/ and /C2/ are properly formed, and the Config_Reg is properly mapped into the Ordered Set.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by restarting auto-negotiation by any means.
2. Provide a link of /C/ codes with a Config_Reg of all zero.
3. Observe transmissions from the DUT up to and including the transmission of a non-zero Config_Reg.

**Observable Results:**
a) Upon restart, the device should only source four byte ordered sets of the form /C1/ or /C2/.
   b) The non-zero Config_reg should be mapped as (MSB first) Dx.x=0000000 and Dy.y=0000000, where ‘?’ may be 0 or 1 dependent on the devices advertised abilities.

**Possible Problems:** None.
#37.1.2 Invalid Code Handling

**Purpose:** To verify that invalid codes, received while xmit=(CONFIGURATION+IDLE), cause auto-negotiation to restart.

**References:**
- IEEE 802.3z/D3.1 - Figure 36-7a PCS Receive State Diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code groups and transmitting (receiving) these code groups using the signaling method of clause 38 or 39.

**Last Modification:** August 21, 1997

**Discussion:** If a device receives an invalid code at any time prior to completing auto-negotiation (xmit ≠ DATA), then auto-negotiation should restart as a result of receiving the RX_UNITDATA.indicate(INVALID) message. This process helps to ensure that a link is not established if the channel has a high bit error rate.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by restarting Auto-Negotiation by any means.
2. Transmit valid /C/ codes to the DUT until the device transmits a non-zero Config_Reg.
3. Transmit an invalid code such as to cause a transition to RXAN_INVALID in figure 36-7a.
4. Repeat 1 through 3, until all five transitions to RXAN_INVALID are verified.

**Observable Results:**
- a) All transitions to RXAN_INVALID should cause the DUT to restart auto-negotiation, resulting in the transmission of a Config_Reg of all zero.

**Possible Problems:** If the DUT does not source a Config_Reg of zero upon restart, other techniques, such as monitoring the ACK bit transmitted from the DUT, could be employed.
#37.1.3 Ill-Formed /C/ Codes

**Purpose:** To verify that only Ordered Sets that are four bytes in length, that begin with /K28.5/D21.5+D2.2/ are treated as valid configuration ordered sets.

**References:**
- IEEE 802.3z/D3.1 - Figure 36-3 PCS Reference Diagram, Figure 36-6 PCS Transmit Code_Group State Diagram and Figure 36-7a PCS Receive State Diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 22, 1997

**Discussion:** The proper reception and decoding of configuration ( /C/ ) ordered sets is accomplished via the PCS Receive State Diagram, part a (Figure 37-7a). The 16 bit Config_Reg mapped into the four byte ordered sets at the transmitter, will only be decoded properly at the receiver if one of two valid /C/ codes are received. The configuration ordered sets defined are referred to as, /C1/ and /C2/. /C1/ consists of /K28.5/D21.5/Dx.x/Dy.y/. /C2/ consists of /K28.5/ D2.2/Dx.x/Dy.y/. Where Dx.x contains tx_Config_Reg<D7:0>, and Dy.y contains tx_Config_Reg<D15:8>. As part of auto-negotiation involves the transmission of idle ( /I/ ) ordered sets, Figure 37-7a also permits the reception of /I/ codes. Specifically, a code pattern of /K28.5/D*!(D21.5+D2.2)/K28.5 etc. is acceptable. Furthermore, a non-idle code pattern of /K28.5/D*!(D21.5+D2.2)/!(K28.5)*!(INVALID)/{any even number of codes that are !(K28.5)}/K28.5 etc. is acceptable to the receiver. Any other received patterns while xmit≠DATA should result in an RX_UNITDATA.indicate(INVALID) message, which should cause auto-negotiation to restart at the receiving station.

This test is designed to verify that the DUT only decodes the 16 bit Config_Reg from valid configuration ordered sets.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by restarting auto-negotiation by any means.
2. Provide one of the stream of /C/ codes noted below.
3. Observe the Config_Reg transmitted by the DUT, monitoring bit 14 (ACK).
4. Repeat steps (1) through (3) until all patterns noted below have been tested.

Note- Test patterns include:
2. /K28.5/D2.2/K28.5/D2.2 …
5. /K28.5/D !(D21.5+D2.2) /D/INVALID/INVALID/D/K28.5 …
6. /K28.5/D !(D21.5+D2.2) /K27.7/D !(D21.5+D2.2) …
7. /K27.7/D/K27.7/D …
8. /K27.7/D21.5/D/K27.7/D2.2/D/D …
 Observable Results:

a) Test patterns (1) through (4) should result in the device restarting auto-negotiation. Continuous reception of these patterns should prevent the DUT from ever transmitting a non-zero Config_Reg.
b) Test patterns (5) through (8) should not cause the DUT to set bit 14 (ACK) in its transmitted Config_Reg.
c) Test patterns (9) and (10) should cause the DUT to set bit 14 (ACK) in its transmitted Config_Reg.

Possible Problems: None.
#37.2.1 Base Page Advertised Abilities

**Purpose:** To verify that the DUT transmits acceptable technology abilities, and proper initial values for reserved, RF, ACK and NP bits.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.2.1, 37.2.3, table 37-5.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 19, 1997

**Discussion:** The sixteen bit value of the Config_Reg base page is encoded as shown below:

<table>
<thead>
<tr>
<th>LSB</th>
<th>D0</th>
<th>D1</th>
<th>D2</th>
<th>D3</th>
<th>D4</th>
<th>D5</th>
<th>D6</th>
<th>D7</th>
<th>D8</th>
<th>D9</th>
<th>D10</th>
<th>D11</th>
<th>D12</th>
<th>D13</th>
<th>D14</th>
<th>D15</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>rsvd</td>
<td>rsvd</td>
<td>rsvd</td>
<td>rsvd</td>
<td>FD</td>
<td>HD</td>
<td>PS1</td>
<td>PS2</td>
<td>rsvd</td>
<td>rsvd</td>
<td>rsvd</td>
<td>RF1</td>
<td>RF2</td>
<td>Ack</td>
<td>NP</td>
<td></td>
</tr>
</tbody>
</table>

The DUT should always transmit reserved bits (rsvd) as zero, and initially the acknowledge bit (Ack) should be transmitted as zero as well.

The DUT may transmit abilities that it possess by setting the appropriate bits to one, such as full duplex (FD), half duplex (HD), and Pause/Asymmetric Pause capability (PS1/PS2) (refer to table 37-4 for a complete breakdown of Pause mode resolutions). While the DUT may not transmit abilities it does possess, it shall not, at any time, transmit abilities it does not possess.

If the DUT has sensed a fault condition, it may indicate such an event via the remote fault bits (RF1/RF2). If the DUT possess next pages that it desires to send, then the next page bit (NP) should be set to one.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by restarting auto-negotiation by any means.
2. Provide a link of /C/ codes with a Config_Reg of all zero.
3. Observe transmissions from the DUT up to and including the transmission of a non-zero Config_Reg.

**Observable Results:**
- a) The DUT should not advertise any abilities that it does not possess.
- b) The reserved bits should be transmitted as zero.
- c) The NP bit should be transmitted as one only if the DUT has next pages to transmit

**Possible Problems:** None.
#37.2.2 Link Timer

**Purpose:** To verify that the implemented link_timer is within 10ms to 20ms.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.3.1.4, figure 37-6 Auto-Negotiation state diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups as specified in clause 36 and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 21, 1997

**Discussion:** Clause 37 Auto-Negotiation includes only one timer, link_timer, which serves the primary purpose of allowing for sufficient delay such that higher-level management may write and read registers necessary for base page and next page exchange. Link_timer is specified in 37.3.1.4 to be 10ms, however a tolerance of up to +10ms is acceptable.

As can be seen in the Auto-Negotiation state diagram, link_timer is required to complete at least three times prior to completion of the protocol. During this period, if an invalid code is received, auto-negotiation shall restart. In this way, link_timer also helps to prevent a link from being established on channels with exceptionally high bit-error rates.

This test endeavors to measure the implemented value of link_timer in all five locations that it is used.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
I. Initialize by restarting auto-negotiation by any means.
II. Queue a frame to transmit from the DUT.
III. Provide a link of /C/ codes with a valid Config_Reg with ACK=1 and NP=1.
   A. Time from when the DUT sources a non-zero Config_Reg to when a Config_Reg is sourced with bit D11 toggled.
IV. Provide a stream of /C/ codes to the DUT, with bit D11 toggled from the previous Config_Reg value and ACK=1. Once the DUT enters COMPLETE_ACKNOWLEDGE, repeat until the DUT sources a Config_Reg with NP=0.
   A. Time from the last time bit D11 changed in the Config_Reg sourced by the DUT, to when the DUT sources /I/ codes.
V. Once the DUT sources /I/ codes, provide a link of /I/ codes to the DUT
   A. Time from when the DUT sources the first /I/ code, to when the frame queued in (2) is sourced.
VI. Now that the link is up, continue sending /I/ codes, introducing a limited number of invalid codes periodically in the stream to the DUT.
VII. Repeat step 7, increasing the number of consecutive invalid codes until auto-negotiation restarts due to an_sync_status=FALSE.
   A. Time the duration the DUT sources a Config_Reg of all zero.
**Observable Results:**

a) The time measured in step (3) should establish an upper bound on the link_timer value used from the COMPLETE_ACKNOWLEDGE state to the NEXT_PAGE_WAIT state.

b) The time measured in step (4) should establish an upper bound on the link_timer value used from the COMPLETE_ACKNOWLEDGE state to the IDLE_DETECT state.

c) The time measured in step (5) should establish an upper bound on the link_timer value used from the IDLE_DETECT state to the LINK_OK state.

d) The number of invalid codes required in step (6) and (7) should establish the link_timer value used for an_sync_status, taking into account the requirements of Fig 36-9, Synchronization State Diagram (link_timer = number + 16ns).

e) The time measured in step (7) should establish an upper bound on the link_timer value used from the AN_RESTART state to the ABILITY_DETECT state.

**Possible Problems:** None.
#37.2.3 Break Link Content

**Purpose:** To verify that the DUT transmits Break Link containing \( rx_{\text{Config Reg}}<D15:D0>=0 \) prior to entering ABILITY_DETECT.

**References:**
- IEEE 802.3z/D3.1 - Figure 37-6 Auto-Negotiation State Diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code groups and transmitting (receiving) these code groups using the signaling method of clause 38 or 39.

**Last Modification:** August 18, 1997

**Discussion:** On power-up, a clause 37 auto-negotiating device attempts to transmit /C/ codes to its link partner. As the device’s management entity may need to write the desired advertised abilities to the appropriate registers, there is, necessarily, a delay before these values will be represented in the outgoing /C/ codes. To prevent the possibility of the device’s link partner negotiating with the initial contents of the /C/ codes, clause 37 auto-negotiating devices are required to source an easily identifiable pattern on link start or restart. This pattern is a Config_Reg of all zero and is informally referred to as ‘break link’.

This test verifies that the DUT sources a Config_Reg of all zero on startup, and on restart.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Power on the DUT.
2. Provide a link of /C/ codes with a Config_Reg of all zero.
3. Observe transmissions from the DUT up to and including the transmission of a non-zero Config_Reg.
4. Restart auto-negotiation by any means.
5. Observe transmissions from the DUT up to and including the transmission of a non-zero Config_Reg.

**Observable Results:**
- a) On power up, and enabling of auto-negotiation, the DUT should source /C/ codes with a Config_Reg of all zero.
- b) On auto-negotiation restart, the DUT should source /C/ codes with a Config_Reg of all zero.

**Possible Problems:** None.
# 37.2.4 Next Page Bit

**Purpose:** To verify that the DUT properly sets the NP bit while the DUT desires to continue the NP exchange.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.2.5.3, Figure 37-6 Auto-Negotiation state diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code groups and transmitting (receiving) these code groups using the signaling method of clause 38 or 39.

**Last Modification:** August 20, 1997

**Discussion:**
During the exchange of next pages, the NP bit plays a simple role. It is to have the value 1 if a station has further next pages to transmit, and 0 if it is transmitting its last page of information. Once both a device and its link partner have transmitted all of their next pages, the next page process should end and a link should be established. If a device's partner finishes transmitting message or unformatted pages before the device and is sending out null pages, then the device should go directly from its last message or unformatted page to a link. If both devices transmit their last message or unformatted page at the same time, they should both go straight to a link and no null pages should be sent. This test is designed to verify that the device under test properly sets the NP bit throughout the next page process, and does not transmit any null pages if its link partner either finishes transmitting its message and unformatted pages before it or at the same time that it does.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. If necessary, force the DUT to a mode where it will request a next page exchange, by setting NP=1 in its Base Page.
2. Initialize by restarting auto-negotiation by any means.
3. Provide a stream of /C/ codes to the DUT such that it enters the COMPLETE_ACKNOWLEDGE state. The NP bit in the Config_Reg sent to the DUT should be 0.
4. Provide a stream of /C/ codes to the DUT, with bit D11 toggled from the previous Config_Reg value, until the DUT reenters the COMPLETE_ACKNOWLEDGE state.
5. Repeat step (4) until the DUT sets NP=0.
6. Once the DUT has entered COMPLETE_ACKNOWLEDGE for the page transmitted with NP=0, provide a stream of /I/ codes to the DUT.

**Observable Results:**
a) The DUT should keep NP=1 for all pages exchanged, excluding the final page the DUT desires to transmit.
b) Once the DUT completes transmitting the page with NP=0, it should immediately transition to /I/ codes.

**Possible Problems:** If the DUT cannot be forced to send next pages (NP=1 in Base Page), then this test cannot be done.
#37.2.5 Null Message Page

**Purpose:** To verify that the DUT properly sends Null Message Pages when the DUT desires to cease NP exchange.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.2.5.3, Figure 37-6 Auto-Negotiation state diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code groups and transmitting (receiving) these code groups using the signaling method of clause 38 or 39.

**Last Modification:** August 20, 1997

**Discussion:** Once a device has finished sending Message and Unformatted next pages, it is required to send Null message pages until its link partner is done as well. Alternatively, if a device has no next pages to send, but it’s link partner does, the device is required to send Null message pages until the link partner is done. A null message page is defined as a Message Page that contains the null message code 00000000001 (bits M[10:0]). This test is designed to verify that once the device under test completes its transmission of Message and Unformatted next pages, it sends out valid Null message pages until its link partner is done as well.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**

**Part A:**
1. If necessary, force the DUT to a mode where it will request a next page exchange, by setting NP=1 in its Base Page.
2. Initialize be restarting auto-negotiation by any means.
3. Provide a stream of /C/ codes to the DUT such that it enters the COMPLETE_ACKNOWLEDGE state. The NP bit in the Config_Reg sent to the DUT should be 1.
4. Provide a stream of /C/ codes to the DUT, with bit D11 toggled from the previous Config_Reg value, until the DUT reenters the COMPLETE_ACKNOWLEDGE state.
5. Repeat step (4) until the DUT sets NP=0, at which time, repeat step (4) three additional times, setting NP=0 the final time.
6. Once the DUT has entered COMPLETE_ACKNOWLEDGE, provide a stream of /I/ codes to the DUT.

**Part B:**
1. If necessary, force the DUT to a mode where it does not desire to source next pages.
2. Initialize be restarting auto-negotiation by any means.
3. Provide a stream of /C/ codes to the DUT such that it enters the COMPLETE_ACKNOWLEDGE state. The NP bit in the Config_Reg sent to the DUT should be 1.
4. Provide a stream of /C/ codes to the DUT, with bit D11 toggled from the previous Config_Reg value, until the DUT reenters the COMPLETE_ACKNOWLEDGE state.
5. Repeat step (4) three times setting NP=0 the final time.
6. Once the DUT has entered COMPLETE_ACKNOWLEDGE, provide a stream of /I/ codes to the DUT.

**Observable Results:**
- a) In Part A, the DUT should source three Null message pages with NP=0, prior to sourcing /I/ codes.
- b) In Part B, the DUT should source four Null message pages with NP=0 until a next page is received with NP=0.
**Possible Problems:** If the DUT cannot be forced to send next pages (NP=1 in Base Page), then part (A) cannot be done. Likewise, if the DUT cannot be forced to not send next pages (NP=0 in Base Page), then part (B) cannot be done.
#37.2.6 Toggle Bit

**Purpose:** To verify that the DUT properly sets the toggle bit (T) in all transmitted next pages.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.2.5.3, 37.2.5.3.6.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 21, 1997

**Discussion:** During next page exchange, the toggle bit (D11) of the transmitted next pages serves a simple purpose. The value of this bit alternates between 0 and 1 in consecutive pages, and therefore provides the receiving station with a quick and easy check to verify that it is receiving next pages in the proper order. Therefore, it is important that the DUT sets these bits properly, starting with its first next page, whose toggle bit value takes the opposite value of bit D11 in the device’s Config_Reg. This test is designed to verify that the device under test sets the toggle bit properly throughout the exchange of next pages.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by restart auto-negotiation by any means.
2. Provide a stream of /C/ codes to the DUT such that it enters the COMPLETE_ACKNOWLEDGE state. The NP bit in the Config_Reg sent to the DUT should be 1.
3. Provide a stream of /C/ codes to the DUT, with bit D11 toggled from the previous Config_Reg value, until the DUT reenters the COMPLETE_ACKNOWLEDGE state.
4. Repeat step (4) until the DUT sets NP=0 and/or sufficient next pages have been observed from the DUT.

**Observable Results:**
- a) The value of the toggle bit in the first next page should have the opposite value of bit D11 in the DUT’s base page Config_Reg.
- b) The value of the toggle bit of the next page transmitted by the DUT should always take the opposite value of the toggle bit of the previous next page.

**Possible Problems:** None.
#37.2.7 Message Page and Unformatted Page Encoding

**Purpose:** To verify that the DUT properly encodes Message and Unformatted pages.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.2.5.3.1, 37.2.5.3.4.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 21, 1997

**Discussion:** There are two types of pages that a device may send as next pages: messages or unformatted pages. Each type of page has its own formatting. Message pages contain an 11-bit message code field that can contain only those values defined in Annex 28C. Unformatted pages contain an 11-bit unformatted code field whose encoding is either vendor specific, or defined by the preceding Message page.

**Message Page encoding:**

| LSB  | D15 | D14 | D13 | D12 | D11 | D10 | D9 | D8 | D7 | D6 | D5 | D4 | D3 | D2 | D1 | D0 |
|------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
|      |     |     |     |     |     |     |     |     |     |     |     |     |     |     |     |

**Unformatted Page encoding:**

| LSB  | D15 | D14 | D13 | D12 | D11 | D10 | D9 | D8 | D7 | D6 | D5 | D4 | D3 | D2 | D1 | D0 |
|------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
|      |     |     |     |     |     |     |     |     |     |     |     |     |     |     |     |

Note the MP bit, which is required to be set to 1 if the page is a message page, and 0 if it is an unformatted page. This test is designed to verify that the DUT’s message pages contain an acceptable message code followed by the appropriate number of unformatted (see Annex 28C for the message codes and appropriate number of unformatted pages), and all pages have the MP bit set correctly.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. If necessary, force the DUT to request a next page exchange, by setting NP=1 in its Base Page.
2. Initialize be restarting auto-negotiation by any means.
3. Provide a stream of /C/ codes to the DUT such that it enters the COMPLETE_ACKNOWLEDGE state. The NP bit in the Config_Reg sent to the DUT should be 0.
4. Provide a stream of /C/ codes to the DUT, with bit D11 toggled from the previous Config_Reg value, until the DUT reenters the COMPLETE_ACKNOWLEDGE state.
5. Repeat step (4) until the DUT sets NP=0.
6. Once the DUT has entered COMPLETE_ACKNOWLEDGE for the page transmitted with NP=0, provide a stream of /I/ codes to the DUT.

**Observable Results:**
- a) All Message pages should have MP=1, and all Unformatted pages should have MP=0
- b) All Message pages transmitted by the DUT should contain a valid message code and should be followed by the appropriate number of unformatted pages, as defined in Annex 28C.

**Possible Problems:** None.
#37.3.1 Ability Match

**Purpose:** To verify that the DUT enters the ACKNOWLEDGE_DETECT state upon reception of three consecutive and consistent Config_Reg values, ignoring the ACK bit.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.3.1.2, Figure 37-6 Auto-Negotiation State Diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 23, 1997

**Discussion:** The core of auto-negotiation is based on the proper exchange of a 16-bit value between a station and its link partner. Encoded in this 16-bit value are the device’s capabilities. Upon receipt of these capabilities, the link partner learns the capabilities of the attached station and can configure the link optimally. If the 16-bit value is corrupted in any way, then the link established could be sub-optimal, to the point of being non-functional. To ensure the proper exchange of these 16-bit values, matching functions are employed. These functions require the reception of three identical, and consecutive 16 bit values before their acceptance.

The ability_match function looks for three identical, and consecutive rx_Config_Reg<D15:D0> values, ignoring bit 14 (ACK). Once these are received, ability_match is set to TRUE. Referring to figure 37-6, Auto-Negotiation State Diagram, this results in a transition from the ABILITY_DETECT to ACKNOWLEDGE_DETECT states (provided rx_Config_Reg<D15:D0>≠0). Upon entering ACKNOWLEDGE_DETECT, the device sets its ACK bit to 1, indicating it has successfully received its link partner’s 16-bit value, and then waits for a similar acknowledgment from the link partner (refer to the acknowledge_match test). When ability_match is looking for three identical and consecutive rx_Config_Reg values, it naturally must keep count. If an /I/ code is received, this count should be reset. Likewise, the count is reset if the second or third rx_Config_Reg does not match the first rx_Config_Reg, the count is reset.

This test explores the ability_match function by ensuring the match count is reset properly, and that once the count reaches three, ability_match=TRUE, and the device sets its ACK bit to one in its transmitted Config_Reg.

Note: Detection of ability_match=TRUE, while in the ABILITY_DETECT state, typically results in the transition to the ACKNOWLEDGE_DETECT, where ‘transmit_ack=TRUE’. This results in the DUT transmitting a Config_Reg with bit 14 (ACK bit) set to 1. Thus, this process is readily observable, and will be henceforth be referred to as the device ‘setting its ACK bit’.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.
**Procedure:**
1. Initialize by resetting the device by any means.
2. Provide a link of /C/ codes with a Config_Reg of all zero.
3. Observe transmissions from the DUT up to and beyond the transmission of a non-zero Config_Reg.
4. Provide a stream of /C/ codes where Config_Reg takes on any value. Observe transmissions from the DUT.
5. Repeat step (1) and (4) varying the Config_Reg value to all defined values, and some that are reserved.
6. Provide a stream of /C/ codes where two Config_Reg values (which are 1 bit different) are sent repeatedly.
7. Observe transmissions from the DUT
8. Repeat step (4) and (5) varying the Config_Reg value such that only 1 bit is ever different, but all 16 bits are eventually tested, performing the test of bit 14 (ACK) last.
10. Observe transmissions from the DUT up to and including the transmission of a non-zero Config_Reg.

**Observable Results:**
a) In step (3), the DUT should not set its ACK bit upon detection of ability_match=
   TRUE*rx_Config_Reg<D15:D0>=0
b) In step (4) and (5), the DUT should set its ACK bit in all cases.
c) In step (6),(7) and (8), the DUT should not set its ACK bit upon reception of inconsistent Config_Reg values, with the exception of Config_Reg values that differ only by bit 14 (ACK).

**Possible Problems:** None.
#37.3.2 Acknowledge Match

**Purpose:** To verify that the DUT enters the COMPLETE_ACKNOWLEDGE state upon reception of three consecutive and consistent Config_Reg values, including the ACK bit.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.3.1.2, Figure 37-6 Auto-Negotiation State Diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 23, 1997

**Discussion:** The core of auto-negotiation is based on the proper exchange of a 16-bit value between a station and its link partner. Encoded in this 16-bit value are the device’s capabilities. Upon receipt of these capabilities, the link partner learns the capabilities of the attached station and can configure the link optimally. If the 16-bit value is corrupted in any way, then the link established could be sub-optimal, to the point of being non-functional. To ensure the proper exchange of these 16-bit values, matching functions are employed. These functions require the reception of three identical, and consecutive 16 bit values before their acceptance. The acknowledge_match function looks for three identical, and consecutive rx_Config_Reg<D15:D0> values, that have bit 14 (ACK) set to one. Once these are received, acknowledge_match is set to TRUE. Referring to figure 37-6, Auto-Negotiation State Diagram, this results in a transition from the ACKNOWLEDGE_DETECT to COMPLETE_ACKNOWLEDGE states (provided rx_Config_Reg<D15:D0> is identical to the value that set ability_match=TRUE, refer to the consistency_match test). Upon entering COMPLETE_ACKNOWLEDGE, the device knows that its link partner has received its 16-bit value. However, the link partner must receive three identical and consecutive 16 bit values with the ACK bit set, in order to proceed to the COMPLETE_ACKNOWLEDGE state. To increase the likelihood of this occurring, the device (now in the COMPLETE_ACKNOWLEDGE state) continues to send its Config_Reg value with the ACK bit set for a duration of ‘link_timer’. When acknowledge_match is looking for three identical and consecutive rx_Config_Reg values with the ACK bit set, it naturally must keep count. If an /I/ code is received, this count should be reset. Likewise, the count is reset if the second or third rx_Config_Reg does not match the first rx_Config_Reg, the count is reset. This test explores the acknowledge_match function by ensuring the match count is reset properly, and that once the count reaches three, acknowledge_match=TRUE, and the device proceeds to the COMPLETE_ACKNOWLEDGE state.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.
**Procedure:**

1. Initialize by resetting the device by any means.
2. Provide a stream of /C/ codes where Config_Reg takes on any value, so long as ACK=0. Observe transmissions from the DUT.
3. Once the DUT sets its ACK bit, alter the stream of /C/ codes to the DUT by embedding one Config_Reg with the ACK bit set. Observe transmissions from the DUT.
4. Repeat step (1) through (3) as necessary, modifying (3) by increasing the number(x) of consecutive Config_Reg values sent with the ACK bit set. Observe transmissions from the DUT.
5. With the number(x) found in (4), repeat (1) through (3) as necessary, once the DUT set its ACK bit, alter the stream of /C/ codes such that two Config_Reg values (which are 1 bit different) are sent ceil(x/2) times. Observe transmissions from the DUT.
6. Repeat step (5) varying the Config_Reg value such that only 1 bit is ever different, but all 16 bits are eventually tested.

**Observable Results:**

a) In step (3) and (4), the DUT should enter COMPLETE_ACKNOWLEDGE once (x) exceeds two.
b) In step (5) and (6), the DUT should not enter COMPLETE_ACKNOWLEDGE upon reception of inconsistent Config_Reg values.

Note: Entrance into COMPLETE_ACKNOWLEDGE can be observed two ways:
1) By observing transmission of /I/ codes commencing ‘link_timer’ after the test.
2) By observing transmission of a next page from the DUT, ‘link_timer’ after the test.

**Possible Problems:** None.
#37.3.3 Consistency Match

**Purpose:** To verify that the DUT performs a consistency match test based on results of the ability_match and acknowledge_match function.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.3.1.2, Figure 37-6 Auto-Negotiation State Diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 23, 1997

**Discussion:** The core of auto-negotiation is based on the proper exchange of a 16-bit value between a station and its link partner. Encoded in this 16-bit value are the device’s capabilities. Upon receipt of these capabilities, the link partner learns the capabilities of the attached station and can configure the link optimally. If the 16-bit value is corrupted in any way, then the link established could be sub-optimal, to the point of being non-functional. To ensure the proper exchange of these 16-bit values, matching functions are employed. These functions require the reception of three identical, and consecutive 16 bit values before their acceptance.

The consistency_match function takes the rx_Config_Reg<D15:D0> value that caused ability_match=TRUE while the device was in the ABILITY_DETECT state, and compares it with the rx_Config_Reg<D15:D0> value that caused acknowledge_match=TRUE. If they are identical (ignoring bit 14 {ACK}) then consistency_match is set to TRUE. If for any reason this is not the case, the consistency_match is set to FALSE. This prevents the device from altering the Config_Reg that was acknowledged in the ABILITY_DETECT state in any way, except for the setting of the ACK bit. Additionally, this prevents the (unlikely) event that three consecutive Config_Reg values could be received in error, but otherwise identical. If inconsistent values are found, auto-negotiation is restarted.

This test explores the consistency_match function by ensuring the match is performed on the proper Config_Reg values, and that auto-negotiation is properly restarted in the event of a consistency_match failure.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.
**Procedure:**

1. Initialize by resetting the device by any means.
2. Provide a stream of `/C/` codes where Config_Reg takes on any value, so long as ACK=0. Observe transmissions from the DUT.
3. Once the DUT sets its ACK bit, alter the stream of `/C/` codes to the DUT by embedding (x) Config_Reg values with the ACK bit set. Where (x) is the value found in step (4) of test 37.3.2, and where the Config_Reg value is 1 bit different from the value sent in step (2). Observe transmissions from the DUT.
4. Repeat (1) through (3), in step (3) vary the Config_Reg value such that only 1 bit is ever different, but all 15 bits (ACK must be set) are eventually tested.
5. Restart auto-negotiation by any means.
6. Provide a stream of `/C/` codes with a Config_Reg of all zero. Continue until the DUT sources a non-zero Config_Reg.
7. Source three identical and consecutive Config_Reg values (CR1_NoA) with ACK=0 to the DUT (causing ability_match=TRUE), then source a different stream of Config_Reg values (CR2_NoA) with ACK=0 (send at least 3).
8. Once the DUT sets its ACK bit, alter the transmitted stream of `/C/` codes such that the ACK bit is set. (current stream should be CR2_A). Observe transmissions from the DUT.
10. Provide a stream of `/C/` codes with a Config_Reg of all zero. Continue until the DUT sources a non-zero Config_Reg.
11. Source three identical and consecutive Config_Reg values with ACK=1 to the DUT (causing ability_match=TRUE, acknowledge_match=TRUE, and consistency_match=TRUE.) Follow the `/C/` codes with a stream of idle codes. Observe transmissions from the DUT.

**Observable Results:**

a) In steps (3) and (4), the DUT should restart auto-negotiation due to a consistency_match failure.

b) In step (8), the DUT should restart auto-negotiation, due to a consistency_match failure, as ability_match was set based on CR1_NoA, and acknowledge_match was set on CR2_A (even though ability_match was true due to CR2_NoA at the time that acknowledge_match was set).

c) In step (11), the DUT should complete auto-negotiation and establish a link.

**Possible Problems:** None.
#37.3.4 Idle Match

**Purpose:** To verify that the DUT enters the LINK_OK state upon reception of three consecutive /I/ ordered sets and expiration of link_timer.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.3.1.2, Figure 37-6 Auto-Negotiation State Diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 23,1997

**Discussion:** The core of auto-negotiation is based on the proper exchange of a 16-bit value between a station and its link partner. Once these values have been exchanged, the device must compare its link partner’s abilities with its own, and resolve the most optimal link. This process of Priority Resolution is not instantaneous. Referring to Figure 37-6, the IDLE_DETECT state exists primarily to allow for a delay such that the proper link can be configured, hence the link_timer is used. A device is not permitted to proceed to the LINK_OK state unless the link_timer has expired. However, it is also required that an idle_match=TRUE occur. In order to be true, the idle_match function must receive three consecutive idle codes. Once this variable is true, the device knows that its link partner has exited the COMPLETE_ACKNOWLEDGE state and entered IDLE_DETECT, thus preventing a link from being established before the link partner has completed transmission of /C/ codes. This check is essential as reception of /C/ codes once the link is up would result in the auto-negotiation process being restarted. Like the ability_match and acknowledge_match functions, when idle_match is looking for three consecutive idle codes, it naturally must keep count. If a /C/ code is received, this count should be reset.

This test explores the idle_match function by ensuring the match count is reset properly, and that once the count reaches three, idle_match=TRUE, and the device proceeds to the LINK_OK state.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by resetting the device by any means.
2. Provide a stream of /C/ codes where Config_Reg takes on any value, so long as ACK=0. Observe transmissions from the DUT.
3. Once the DUT sets its ACK bit, alter the stream of /C/ codes to the DUT by embedding (x) Config_Reg values with the ACK bit set. Where (x) is the value found in step (4) of test 37.3.2.
4. Provide a stream of /I/ codes to the DUT as specified in the note below.
5. Observe transmissions from the DUT.

Note - Test patterns include:
1. /K28.5/D16.2/K28.5/D16.2 …
2. /K28.5/D5.6/K28.5/D16.2/K28.5/D5.6 …
4. /K28.5/D10.2/K28.5/D10.2 …
**Observable Results:**

a) Test patterns (1) through (3) should allow the DUT to enter the LINK_OK state.
b) Test patterns (4) through (6) should prevent the DUT from entering LINK_OK.

Note: Entrance into LINK_OK can be observed two ways:
1) By observing if the DUT can transmit any waiting frames, which is only possible once xmit=DATA in the LINK_OK state.
2) By observing if the DUT restarts auto-negotiation upon receipt of a /C/ code. This should occur in the LINK_OK state, but not in the IDLE_DETECT state.

**Possible Problems:** None.
#37.3.5 Reception of NP Bit

**Purpose:** To verify that the DUT properly enters into a next page exchange upon receipt of NP=1.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.2.5.3, Figure 37-6 Auto-Negotiation state diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 24, 1997

**Discussion:** During the exchange of next pages, the NP bit plays a simple role. It is to have the value 1 if a station has further next pages to transmit, and 0 if it is transmitting its last page of information. If a device receives a page with NP set to 1, it should enter into a next page exchange regardless of the devices own NP value. In this way, a device that has no next page information to exchange, can still acquire the next page information from its link partner. Once both a device and its link partner have transmitted all of their next pages, the next page process should end and a link should be established. If a device's partner finishes transmitting message or unformatted pages before the device and is sending out null pages, then the device should go directly from its last message or unformatted page to a link. If both devices transmit their last message or unformatted page at the same time, they should both go straight to a link and no null pages should be sent.

This test is designed to verify that the device under test properly enters into a next page exchange upon receipt of a base page with NP set to 1. Additionally the test verifies that null message pages are transmitted once the device has exhausted all of its next pages, but is still receiving pages from its link partner with the next page bit set to one.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by restarting auto-negotiation by any means.
2. Provide a stream of /C/ codes to the DUT such that it enters the COMPLETE_ACKNOWLEDGE state. The NP bit in the Config_Reg sent to the DUT should be 1.
3. Provide a stream of /C/ codes to the DUT, with bit D11 toggled from the previous Config_Reg value, until the DUT reenters the COMPLETE_ACKNOWLEDGE state.
4. Repeat step (3) continuing at least two page exchanges after the DUT sets NP=0.
5. Once the DUT has entered COMPLETE_ACKNOWLEDGE for the last page transmitted, provide a stream of /I/ codes to the DUT.

**Observable Results:**
- The DUT should enter into a next page exchange regardless of the DUT’s NP bit value.
- The DUT should source Null Message pages once the DUT no longer has any remaining next pages to exchange.

**Possible Problems:** None.
#37.3.6 Reception of RF Bits

**Purpose:** To observe the behavior of the DUT upon receipt of non-zero RF bits, and verify that the Remote Fault bit (1.4) is set in management.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.2.5.3, Figure 37-6 Auto-Negotiation state diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 24, 1997

**Discussion:** The purpose of auto-negotiation is to resolve the optimal link between link partners. If a problem is detected, either with the signaling received by a device, or with the device's transmitter, a device has the option of using the Remote Fault function to signal the problem to its link partner. While its link partner may not implement the Remote Fault function, it is required to, at least, set bit 1.4 (Remote Fault) in the GMII management register set upon receipt of non-zero remote fault bits.

This test observes the device's reaction to the reception of configuration ordered sets with the RF bits set. Transmissions from the device on the medium will be monitored, as well as bit 1.4 of the management registers when possible.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by restarting auto-negotiation by any means.
2. Provide a stream of /C/ and /I/ codes to the DUT such that it enters the LINK_OK state. The RF bits in the Config_Reg sent to the DUT should be 01.
3. Observe transmissions from the DUT, and bit 1.4 of the management registers.
4. Repeat steps (1) through (3) until the RF bit values of 10, and 11 have also been tested.

**Observable Results:**
a) The DUT should properly complete the auto-negotiation process.
b) The DUT should set bit 1.4 of the management registers.

**Possible Problems:** If access to the management registers is not possible, then bit 1.4 cannot be monitored.
#37.3.7 Reception of Reserved Bits

**Purpose:** To observe the behavior of the DUT upon receipt of non-zero Reserved bits.

**References:**
- IEEE 802.3z/D3.1 - Table 37-5, and Table 37-6.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code groups and transmitting (receiving) these code groups using the signaling method of clause 38 or 39.

**Last Modification:** August 24, 1997

**Discussion:** Within the 16-bit base page value are reserved bits which should be sent as zero and ignored upon receipt, as described in the Table 37-5: AN advertisement register bit definitions and Table 37-6: AN link partner ability base page register bit definition. Thus, if a future modification to the standard made use of these reserved bits, current devices should not treat the non-zero reception of these bits as an error. This test observes the behavior of the device upon receipt of non-zero Reserved bits. As the values of these bits should be ignored when received, no irregular behavior should occur.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by restarting auto-negotiation by any means.
2. Provide a stream of /C/ codes to the DUT such that it enters the IDLE_DETECT state. The reserved bits in the Config_Reg sent to the DUT should be 1. Observe transmissions from the DUT.
3. Provide a stream of /I/ codes to the DUT and verify that a link is established.

**Observable Results:**
- The DUT should complete negotiation and establish a link without error.

**Possible Problems:** None.
#37.3.8 Reception of Toggle Bit

**Purpose:** To verify the DUT checks the value of the toggle bit (T) when transitioning from NEXT_PAGE_WAIT to ACKNOWLEDGE_DETECT.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.2.5.3, 37.2.5.3.6.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code groups and transmitting (receiving) these code groups using the signaling method of clause 38 or 39.

**Last Modification:** August 23, 1997

**Discussion:** During next page exchange, the toggle bit (D11) of the transmitted next pages serves a simple purpose. The value of this bit alternates between 0 and 1 in consecutive pages, and therefore provides the receiving station with a quick and easy check to verify that it is receiving next pages in the proper order. If a device receives consecutive pages without the toggle bit toggled, it should sit in the NEXT_PAGE_WAIT state until it receives a next page with the proper toggle bit value. This test is designed to verify that the DUT checks the value of the toggle bit in received next pages.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by restart auto-negotiation by any means.
2. Provide a stream of /C/ codes to the DUT such that it enters the COMPLETE_ACKNOWLEDGE state. The NP bit in the Config_Reg sent to the DUT should be 1.
3. Provide a stream of /C/ codes to the DUT, with bit D11 the same as the previous Config_Reg value.
4. Provide a stream of /C/ codes to the DUT, with bit D11 toggled from the previous Config_Reg value, until the DUT reenters the COMPLETE_ACKNOWLEDGE state.
5. Repeat several combinations of steps (3) and (4), or steps (4) and (4).
6. Repeat steps (1) through (5), modifying step (3) such that bit D11 is initially toggled.

**Observable Results:**
- At any time the DUT is receiving a new next page, with bit D11 untoggled, the DUT should not set its ACK bit in its outgoing Config_Reg.
- Once the DUT receives a new next page, with bit D11 toggled, the DUT should set its ACK bit in its outgoing Config_Reg as per the ability_match function.

**Possible Problems:** None.
#37.3.9 NP_RX Flag

**Purpose:** To verify the DUT properly stores the received Config_Reg NP value in the np_rx flag.

**References:**
- IEEE 802.3z/D3.1 - Figure 37-6

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 25, 1997

**Discussion:** Next page exchange is signaled when either link partner sets the NP bit to 1. When a device has no remaining pages to transmit, it sets NP to 0. Referring to Figure 37-6: Auto-Negotiation State Diagram, reception of a Config_Reg with NP set to 0 causes NP_RX=0 to be set in the COMPLETE_ACKNOWLEDGE state. Once ‘link_timer’ is done, the state machine then proceeds to the IDLE_DETECT state. The NP_RX flag is necessary as it is possible that the Config_Reg value received upon entrance to COMPLETE_ACKNOWLEDGE will not be the same as the Config_Reg value being received ‘link_timer’ later. Specifically, when the receiving device no longer has next pages to send, it will be sending next pages with NP set to 0. It’s link partner may continue to send next pages and indicate so by setting NP to 1. Upon receiving the second to last next page from its link partner, the receiving device will enter the COMPLETE_ACKNOWLEDGE state. If, for whatever reason, the link partner then enters the NEXT_PAGE_WAIT state and starts sending its final next page (with NP=0), while the receiving device is still in COMPLETE_ACKNOWLEDGE (as it’s ‘link_timer’ has not expired), then the receiving device will have initially seen a NP value of 1, which changed to 0 before it had exited COMPLETE_ACKNOWLEDGE. If the receiving device checked the NP value of the most recently received next page when ‘link_timer’ expired, then it would prematurely enter the IDLE_DETECT state, and both link partner’s state machines would dead-lock.

This test verifies that the DUT checks the value of the NP bit upon entrance to COMPLETE_ACKNOWLEDGE, stores it (in NP_RX), and properly completes the next page exchange.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by restart auto-negotiation by any means.
2. Provide a stream of /C/ codes to the DUT such that it enters the COMPLETE_ACKNOWLEDGE state. The NP bit in the Config_Reg sent to the DUT should be 1.
3. Provide a stream of /C/ codes to the DUT, with bit D11 toggled from the previous Config_Reg value, until the DUT reenters the COMPLETE_ACKNOWLEDGE state.
4. Repeat step (3) at least one time beyond when the DUT sets NP=0.
5. Continue sending valid next pages to the DUT. The second to last next page should be sent a minimum number of times (ideally, the page need only be sent three times, provided ACK=1 and NP=1).
6. Provide the last next page (with NP=0) to the DUT, this should be sent long enough such that the device can exit the COMPLETE_ACKNOWLEDGE state from step (5), and properly complete the last page exchange.
7. When the DUT sources idle codes, provide a stream of idle codes, and verify that a link is established.

**Observable Results:**
- The DUT should complete the proper exchange of all pages sent.

**Possible Problems:** None.
#37.3.10 Message Page and Unformatted Page Handling

Purpose: To verify that the DUT properly receives Message and Unformatted pages.

References:
- IEEE 802.3z/D3.1 - subclause 37.2.5.3.1, 37.2.5.3.4.

Resource Requirements:
- A testing station capable of encoding (decoding) data octets to (from) ten-bit code groups as specified in clause 36 and transmitting (receiving) these code groups using the signaling method of clause 38 or 39.

Last Modification: August 21, 1997

Discussion: There are two types of pages that a device may receive as next pages: messages or unformatted pages. Each type of page has its own formatting. Message pages contain an 11-bit message code field that can contain only those values defined in Annex 28C. Unformatted pages contain an 11-bit unformatted code field whose encoding is either vendor specific, or defined by the preceding Message page.

Message Page encoding:

<table>
<thead>
<tr>
<th>LSB</th>
<th>MSB</th>
</tr>
</thead>
<tbody>
<tr>
<td>D0</td>
<td>D1</td>
</tr>
<tr>
<td>D2</td>
<td>D3</td>
</tr>
<tr>
<td>D4</td>
<td>D5</td>
</tr>
<tr>
<td>D6</td>
<td>D7</td>
</tr>
<tr>
<td>D8</td>
<td>D9</td>
</tr>
<tr>
<td>D10</td>
<td>D11</td>
</tr>
<tr>
<td>D12</td>
<td>D13</td>
</tr>
<tr>
<td>D14</td>
<td>D15</td>
</tr>
</tbody>
</table>

Unformatted Page encoding:

<table>
<thead>
<tr>
<th>LSB</th>
<th>MSB</th>
</tr>
</thead>
<tbody>
<tr>
<td>D0</td>
<td>D1</td>
</tr>
<tr>
<td>D2</td>
<td>D3</td>
</tr>
<tr>
<td>D4</td>
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<td>D11</td>
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<td>D12</td>
<td>D13</td>
</tr>
<tr>
<td>D14</td>
<td>D15</td>
</tr>
</tbody>
</table>

Note the MP bit, which is required to be set to 1 if the page is a message page, and 0 if it is an unformatted page. This test is designed to verify that the DUT properly receives any combination/order of Message and Unformatted pages, provided the requirements of Annex 28C are met. Additionally, the DUT should use the MP bit to identify the message field (M0:M10) from the unformatted field (U0:U10).

Test Setup: Connect the device under test to the testing station with the appropriate medium.

Procedure:
1. Initialize by restarting auto-negotiation by any means.
2. Provide a stream of /C/ codes to the DUT such that it enters the COMPLETE_ACKNOWLEDGE state. The NP bit in the Config_Reg sent to the DUT should be 1. When testing Message code #4, RF1,2 ≠ 00.
3. Provide a stream of /C/ codes to the DUT, with bit D11 toggled from the previous Config_Reg value, until the DUT reenters the COMPLETE_ACKNOWLEDGE state.
4. Repeat step (4) until the DUT sets NP=0, and test scenario is complete (see Note below).
5. Once the DUT has entered COMPLETE_ACKNOWLEDGE for the page transmitted with NP=0, provide a stream of /I/ codes to the DUT.
6. Repeat 1 through 5 until all test scenarios are complete (see Note below).

Note: The contents of the Config_Reg transmitted to the DUT in steps (3) and (4) should include:
- Test reaction to all defined Message codes (#1 to #6), followed by the proper number of Unformatted pages.
- Test reaction to several arbitrary Unformatted pages (#0, #7, #100, #2047).
- Test reaction to several reserved Message codes (#0, #7, #100, #2047).
- Test reaction to Message codes (#2 to #6) that should be followed by Unformatted pages but are not.
- Test reaction to all defined Message codes (#1 to #6) sent in Unformatted pages.
**Observable Results:**

a) All Message/Unformatted pages should be received by the device following the typical base-page exchange protocol as per the Auto-Negotiation state diagram.

b) Upon receipt of Message code #4, followed by an unformatted code of #0, the DUT may provided a Remote Fault indication in its transmitted Config Reg.

c) If observable (either at the MDI or via management), Message codes sent without MP=1, should have no effect.

**Possible Problems:** None.
#37.4.1 Full/Half Duplex Resolution

**Purpose:** To verify that the DUT properly configures the highest common denominator duplex mode.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.2.5.2.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 25, 1997

**Discussion:** Once a station has successfully received it’s link partner’s abilities, it must resolve the optimal link to be established, based on its own capabilities and those received from the link partner. One mode of operation that must be resolved is the duplex mode of the link. Full duplex links have priority over half duplex. This test is designed to verify that a device capable of both full and half duplex operation properly establishes a full duplex link when connected to a link partner advertising such capabilities. Additionally, the device should not resolve to a duplex mode which it does not support.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by restarting auto-negotiation by any means.
2. Provide a stream of /C/ codes to the DUT such that it enters the IDLE_DETECT state. The full and half duplex bits in the Config_Reg sent to the DUT should be 11. Observe transmissions from the DUT.
3. Provide a stream of /I/ codes to the DUT and verify that the proper duplex link is established.
4. Repeat (1) through (3), modifying step (2) such that bit values of 01, 10, and 00 are tested.

**Observable Results:**
- a) If the DUT advertises both full and half duplex capability, then it should resolve to a full duplex mode in all cases where the FD bit received is set.
- b) If the device does not support one of the duplex modes, then it should not establish a link when only that unsupported mode is advertised to the DUT.

**Possible Problems:** None.
#37.4.2 Pause Mode Resolution

**Purpose:** To verify that the DUT configures the proper Pause mode based on table 37-4.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.2.1.3, 37.2.5.2, Table 37-4.
- IEEE 802.3x/D3.1 December 16, 1996 - annex 31B

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code groups and transmitting (receiving) these code groups using the signaling method of clause 38 or 39.

**Last Modification:** August 25, 1997

**Discussion:** Once a station has successfully received it’s link partner’s abilities, it must resolve the optimal link to be established, based on its own capabilities and those received from the link partner. One mode of operation that must be resolved is the flow control (pause) mode of the link. As per Annex 31B, flow control (pause) shall only be used on full duplex links. On a full duplex link, the pause mode to resolve is governed by the device’s PAUSE and ASM_DIR bits in its transmitted Config_Reg, as well as the value of these bits received from its link partner. These four bits then combine to form several possible scenarios, as listed in Table 37-4 and reproduced here for convenience.

<table>
<thead>
<tr>
<th>Link Partner</th>
<th>Local Device</th>
<th>Local Resolution</th>
<th>Link Partner Resolution</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 0</td>
<td>Don’t Care</td>
<td>Disable PAUSE</td>
<td>Disable PAUSE</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Transmit and Receive</td>
<td>Transmit and Receive</td>
</tr>
<tr>
<td>0 1</td>
<td>0</td>
<td>Don’t Care</td>
<td>Disable PAUSE</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Transmit and Receive</td>
<td>Transmit and Receive</td>
</tr>
<tr>
<td>0 1</td>
<td>1 0</td>
<td>Disable PAUSE</td>
<td>Disable PAUSE</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Transmit and Receive</td>
<td>Transmit and Receive</td>
</tr>
<tr>
<td>0 1</td>
<td>1 1</td>
<td>Enable PAUSE</td>
<td>Disable PAUSE</td>
</tr>
<tr>
<td></td>
<td></td>
<td>transmit,</td>
<td>Enable PAUSE receive,</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Disable PAUSE</td>
<td>Enable PAUSE receive</td>
</tr>
<tr>
<td>1 0</td>
<td>0</td>
<td>Don’t Care</td>
<td>Disable PAUSE</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Transmit and Receive</td>
<td>Transmit and Receive</td>
</tr>
<tr>
<td>1 0</td>
<td>1</td>
<td>Don’t Care</td>
<td>Enable PAUSE</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Transmit and Receive</td>
<td>Transmit and Receive</td>
</tr>
<tr>
<td>1 1</td>
<td>0 0</td>
<td>Disable PAUSE</td>
<td>Disable PAUSE</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Transmit and Receive</td>
<td>Transmit and Receive</td>
</tr>
<tr>
<td>1 1</td>
<td>0 1</td>
<td>Enable PAUSE</td>
<td>Disable PAUSE receive,</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Receive,</td>
<td>Enable PAUSE transmit,</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Disable PAUSE</td>
<td>Disable PAUSE transmit</td>
</tr>
<tr>
<td>1 1</td>
<td>1</td>
<td>Don’t Care</td>
<td>Disable PAUSE</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Transmit and Receive</td>
<td>Transmit and Receive</td>
</tr>
</tbody>
</table>

This test is designed to verify that a device capable of flow control (pause) operation only does so on full duplex links, and resolves link operation in accordance with table 37-4.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.
**Procedure:**
1. Initialize by restarting auto-negotiation by any means.
2. Provide a stream of /C/ codes to the DUT such that it enters the IDLE_DETECT state. The full duplex bit in the Config_Reg sent to the DUT should be 1, and the PAUSE and ASM_DIR bits should be 11. Observe transmissions from the DUT
3. Provide a stream of /I/ codes to the DUT and verify that the proper pause mode is established.
4. Repeat (1) through (3), modifying step (2) such that bit values of 01, 10 and 00 are tested.
5. Repeat (4), modifying step (2) such that the full duplex bit is 0 and the half duplex bit is 1 in the Config_Reg sent to the DUT.

**Observable Results:**

a) Depending on the DUT’s advertised pause capabilities, the proper pause mode should be resolved as per table 37-4. This can be verified by observing if the DUT ceases frame transmission upon receipt of pause frames, or generates pause frames when heavily loaded.

b) The DUT should not respond to or send pause frames when the link resolved is half duplex.

**Possible Problems:** If the device can not be loaded to the point where it needs to pause the station transmitting to it, then it may never generate a pause frame. Thus, failure to observe pause transmissions in itself is not sufficient to conclude that the device has not resolved the proper pause transmit mode.
#37.5.1 Reception of Break Link

**Purpose:** To verify that the DUT restarts auto-negotiation upon receipt of rx_Config_Reg<D15:D0>=0.

**References:**
- IEEE 802.3z/D3.1 - Figure 37-6 Auto-Negotiation State Diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 22, 1997

**Discussion:** On power-up, a clause 37 auto-negotiating device attempts to transmit /C/ codes to its link partner. As the device’s management entity may need to write the desired advertised abilities to the appropriate registers, there is, necessarily, a delay before these values will be represented in the outgoing /C/ codes. To prevent the possibility of the device’s link partner negotiating with the initial contents of the /C/ codes, clause 37 auto-negotiating devices are required to source an easily identifiable pattern on link start or restart. This pattern is a Config_Reg of all zero and is informally referred to as ‘break link’. Thus, if a device is reset, or commanded to restart auto-negotiation, or losses link(sync), it will transmit break link, and its link partner should recognize this event and reinitialize auto-negotiation if it is not yet complete.

This test verifies that the DUT restarts auto-negotiation upon receipt of a Config_Reg of all zero when not in the AN_ENABLE, AN_RESTART, ABILITY_DETECT, LINK_OK, or IDLE_DETECT states.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by restarting auto-negotiation by any means.
2. Provide a link of /C/ codes with a non-zero Config_Reg such that the DUT enters the ABILITY_DETECT state.
3. Provide a link of /C/ codes with a Config_Reg of zero
4. Observe the DUT’s response.
5. If necessary, restart auto-negotiation by any means.
6. Repeat steps (2) through (5), causing the device in step (2) to enter the ACKNOWLEDGE_DETECT, COMPLETE_ACKNOWLEDGE, NEXT_PAGE_WAIT, or IDLE_DETECT states.

**Observable Results:**
- Reception of a Config_Reg of all zero while the DUT is in the ABILITY_DETECT or IDLE_DETECT states should not restart auto-negotiation
- Reception of a Config_Reg of all zero while the DUT is in the ACKNOWLEDGE_DETECT, COMPLETE_ACKNOWLEDGE, NEXT_PAGE_WAIT, or IDLE_DETECT states should restart auto-negotiation.

**Possible Problems:** None.
#37.5.2 Loss of Sync

**Purpose:** To verify that the DUT properly restarts auto-negotiation after sync_status=FAIL for a duration of link_timer.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.3.1.4, figure 36-9 Synchronization state diagram, figure 37-6 Auto-Negotiation state diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 25, 1997

**Discussion:** Clause 37 Auto-Negotiation learns of a loss of signal or synchronization via the an_sync_status variable. This variable is set to false ‘link_timer’ after the Synchronization state diagram (Figure 36-9) sets sync_status=FAIL. Upon such an event, the auto-negotiation process should restart (as observed by the transmission of break link). However, so long as an_sync_status is false, then the auto-negotiation process never exits the AN_ENABLE state. Once the synchronization state machine sets sync_status=OK, an_sync_status should become true immediately, and the auto-negotiation state process should proceed to AN_RESTART. After ‘link_timer’ has expired, the device should be observed to transmit its base page abilities.

This test observes transmissions from the DUT to determine if it properly restarts auto-negotiation once synchronization is reestablished.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by restarting auto-negotiation by any means.
2. Complete auto-negotiation and provide a stream of /I/ codes to the DUT.
3. Now that the link is up, introduce a limited number of invalid codes in the idle stream to the DUT (refer to test 37.2.3).
4. Observe transmissions from the DUT.
5. Repeat steps (1) through (4), modifying step (3) such that an extended period of invalid codes is sourced to the DUT (such that sync_status remains FALSE).
6. Once the DUT is providing a stream of non-zero Config_Reg values, source the following stream: /K28.5/D16.2/D16.2/ (repeat 4 times- K28.5/D16.2) / (repeat for > link_timer- D16.2) This should cause a an_sync_status=FALSE while xmit=CONFIGURATION, without causing an RX_UNITDATA_indicate(INVALID) message. Observe transmissions from the DUT.
7. Provide a valid stream of /C/ codes, with a Config_Reg of all zero.
8. Once the DUT is providing a stream of non-zero Config_Reg values, cease all transmission to the DUT. After a period of time in excess of ‘link_timer’, provide a valid stream of /C/ codes, with a Config_Reg of all zero. Observe transmissions from the DUT.
9. Complete auto-negotiation and provide a stream of /I/ codes to the DUT.
10. Cease all transmission to the DUT. After a period of time in excess of ‘link_timer’, provide a valid stream of /C/ codes, with a Config_Reg of all zero. Observe transmissions from the DUT.
Observable Results:

a) In step (4), the DUT should change from /I/ code transmission to /C/ code (with a zero Config_Reg) and continue for approximately ‘link_timer’ and then source non-zero Config_Reg values.

b) In step (5), the DUT should change from /I/ code transmission to /C/ code (with a zero Config_Reg) and continue for approximately the same duration as the length of time the invalid codes were received.

c) In step (6), the DUT should change from non-zero Config_Reg transmission, to zero Config_Reg transmission and continue for approximately the same duration as the length of time that the test stream was sent.

d) In step (8), the DUT should change from non-zero Config_Reg transmission to zero Config_Reg transmission and continue for approximately the duration of time that no transmissions were received.

e) In step (9), the DUT should change from /I/ code transmission to /C/ code (with a zero Config_Reg) and continue for approximately the duration of time that no transmissions were received.

Possible Problems: None.
#37.5.3 Reception of START_AN

**Purpose:** To verify that the DUT restarts auto-negotiation upon receipt of /C/ codes while xmit=DATA.

**References:**
- IEEE 802.3z/D3.1 - subclause 36.2.5.1.6, Figure 36-7b PCS Receive State Diagram, Figure 37-6 Auto-Negotiation State Diagram.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code groups and transmitting (receiving) these code groups using the signaling method of clause 38 or 39.

**Last Modification:** August 23, 1997

**Discussion:** Once a clause 37 auto-negotiating device completes the auto-negotiation process and establishes a link, it sets the variable ‘xmit’ to DATA, signifying that packet exchange can commence. If, at any time thereafter, the device receives a stream of /C/ ordered sets, the auto-negotiation process should receive the RX_UNITDATA.indicate(START_AN) message. This, in turn, causes the device to cease packet exchange and restart its auto-negotiation process. This is necessary as the device’s link partner could restart its auto-negotiation process for many reasons once the link is up, thus the reception of /C/ codes should force the receiving device to the RCV_C_CODE state (Fig 36-7b) which generates the START_AN message, which causes the auto-negotiation process to restart.

This test verifies that the DUT restarts auto-negotiation upon the receipt of /C/ codes. Specifically, while receiving idle codes, the reception of /K28.5/(D21.5+D2.2) should cause the device to restart. The reception of /C/ codes in the middle of a frame should result in a false carrier indication prior to auto-negotiation restart (refer to figure 36-7b).

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by restarting auto-negotiation by any means.
2. Provide a link of /C/ codes such that the DUT enters the COMPLETE_ACKNOWLEDGE state.
3. Once the DUT sources /I/ codes, provide a stream of /I/ codes to the DUT.
4. Embed a request frame in the stream of /I/ codes to the DUT.
5. Once the DUT responds to the request frame, source a stream of valid /C/ codes to the DUT.
6. Observe transmissions from the DUT.
7. Repeat steps (1) through (6), modifying step (5) by embedding a single complete /C/ code in the idle stream to the DUT.
8. Repeat steps (1) through (6), modifying step (5) by embedding /K28.5/D21.5/ in the idle stream to the DUT.
9. Repeat steps (1) through (6), modifying step (5) by embedding /K28.5/D2.2/ in the idle stream to the DUT.
10. Repeat steps (1) through (9), modifying steps (5), (7), (8) and (9) so that the test sequences occur after the start of a valid frame transmitted to the DUT.

**Observable Results:**
- All scenarios should result in the DUT restarting auto-negotiation, as observed by the transmission of /C/ codes from the DUT.

**Possible Problems:** None.
#37.6.1 Possible Offline Indication

**Purpose:** To observe if the DUT uses the Remote Fault function to signal Offline prior to being removed from the active configuration.

**References:**
- IEEE 802.3z/D3.1 - subclause 37.2.1.4, 37.2.1.4.2.

**Resource Requirements:**
- A testing station capable of transmitting (receiving) arbitrary ten-bit code_groups and transmitting (receiving) these code_groups using the signaling method of clause 38 or 39.

**Last Modification:** August 25, 1997

**Discussion:** A device that elects to support the Remote Fault function may use the remote fault encoding of 0b01 in the transmitted Config_Reg to indicate that the station is about to go offline. This may occur prior to the station powering off, running transmitter tests, or removing itself device from the active configuration. This test observes the device’s transmitted RF bits under these circumstances in order to determine if the supports the offline indication.

**Test Setup:** Connect the device under test to the testing station with the appropriate medium.

**Procedure:**
1. Initialize by restarting auto-negotiation by any means.
2. Provide a stream of /C/ and /I/ codes to the DUT such that it enters the LINK_OK state.
3. If possible, force the DUT to run a transmitter test. Observe transmissions from the DUT. Repeat steps (1) and (2) as necessary.
4. If possible, remove the device from the active configuration (by unloading the driver, etc). Observe transmissions from the DUT. Repeat steps (1) and (2) as necessary.
5. Power down the DUT. Observe transmissions from the DUT.

**Observable Results:**
- The DUT may transmit a Config_Reg with the Remote Fault bits set to 0b01 in response to the conditions in step (3), (4) and/or (5). This test is not judged on a Pass/Fail basis.

**Possible Problems:** None.